

## **Application Data Sheet**

### **Application Information**

Application number:	TBA
Filing Date:	12/28/01
Application Type:	Regular
Subject Matter:	Utility
Suggested Classification:	
Suggested Group Art Unit:	1754
CD-ROM or CD-R?:	None
Number of CD disks:	
Number of copies of CDs:	
Sequence Submission?:	None
Computer Readable Form (CRF)?:	No
Number of copies of CRF:	
Title:	METHOD FOR PRODUCING SELF- ASSEMBLED OBJECTS COMPRISING SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF
Attorney Docket Number:	11321-P012USD10
Request for Early Publication?:	No
Request for Non-Publication?:	No
Suggested Drawing Figure:	1
Total Drawing Sheets:	21
Small Entity?:	Yes
Petition included?:	No
Petition Type:	
Licensed US Govt. Agency:	
Contract or Grant Numbers:	
Secrecy Order in Parent Appl.?:	No

### **Applicant Information**

Applicant Authority type: Inventor  
Primary Citizenship Country: US  
Status: Full Capacity  
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Applicant Authority type: Inventor  
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Status: Full Capacity  
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Middle Name: T.  
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City of mailing address: Katy  
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Country of mailing address: US  
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Applicant Authority type: Inventor  
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Status: Full Capacity  
Given Name: Hongjie  
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Family Name: Dai  
Name Suffix:  
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State or Province of Residence: CA  
Country of Residence: US  
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State or Province of mailing address: CA  
Country of mailing address: US  
Postal or Zip Code of mailing address: 94068

Applicant Authority type: Inventor  
Primary Citizenship Country: CN/US  
Status: Full Capacity  
Given Name: Jie  
Middle Name:  
Family Name: Liu  
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Applicant Authority type: Inventor  
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Country of mailing address: US  
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Applicant Authority type: Inventor  
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Status: Full Capacity  
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State or Province of mailing address: CA  
Country of mailing address: US  
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Applicant Authority type:	Inventor
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Applicant Authority type:	Inventor
Primary Citizenship Country:	DE
Status:	Full Capacity
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Family Name:	Thess
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### Correspondence Information

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**Representative Designation:**

**Registration Number:**

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### Domestic Priority Information

Application:	Continuity Type:	Parent Application:	Parent Filing Date:
This Application	Division of	09/380,545	09/03/1999
09/380,545	US National Stage	PCT/US98/04513	03/06/1998
PCT/US98/04513	PCT	60/067,325	12/05/1997
		60/064,531	11/05/1997
		60/063,675	10/29/1997
		60/055,037	08/08/1997
		60/047,854	05/29/1997
		60/040,152	03/07/1997

### Foreign Priority Information

Country:	Application number:	Filing Date:	Priority Claimed:

### Assignee Information

Assignee name: William Marsh Rice University  
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State or Province of mailing address: TX  
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Postal or Zip Code of mailing address: 77005




IN RE: APPLICATION OF SMALLEY ET AL.  
PRELIMINARY AMENDMENT ACCOMPANYING REQUEST FOR FILING DIVISIONAL APPLICATION UNDER  
37 C.F.R. § 1.53(b)

### CONCLUSION

It is believed that each of the claims now pending in the present application recites elements neither taught nor suggested by the prior art. Further, it is believed that the application as a whole is in proper form and condition for allowance. If the Examiner believes that the application may be placed in even better condition for allowance, he or she is invited to contact the undersigned at the telephone number noted below. Alternatively, or in addition, if the Examiner believes that an Examiner interview would be beneficial, the Examiner is invited to note that the undersigned has ready access to the videoconferencing facilities of the South Central Intellectual Property Partnership at Rice University in Houston, Texas. The inventors and the undersigned would welcome the opportunity to use those facilities to clarify any issues deemed to remain unresolved.

Respectfully submitted,

Date: December 28, 2001



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ATTORNEYS FOR ASSIGNEE

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12/28/2001 - 11321-P012USD10